



CERTIFICATION M	Matter No.			
Applicant(s): Daniel, of	et al.			#61804
Serial No.	Filing Date	Examiner	Group Art Unit	Conf. No.
09/916,190	July 26, 2001	Not Yet Assigned	2681	7093

#### Invention: RESOURCE MANAGEMENT IN CELLULAR NETWORKS

I hereby certify that a Transmittal (2 pages, in duplicate); Supplemental Information Disclosure Statement (5 pages); an Information Disclosure Statement by Applicant Form PTO/SB/08A (2 pages, with copies of 7 references); copy of International Search Report (7 pages); authorization to charge any fees which may be required to Deposit Account No. 50-1662; a Certificate of Mailing by First Class Mail (1 page); and a stamped, pre-addressed postcard are being mailed by U.S. Postal Service Express Mail to Addressee, Mail Stop Non-Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313, this 25<sup>th</sup> day of August, 2003.

Gayle Canfield

(Typed or Printed Name of Person Mailing Correspondence)

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## INITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Y. Daniel, et al.

Group No.

2681

Serial No.:

09/916, 190

Examiner:

Not Yet Assigned

Filed:

July 26, 2001

Conf. No.

7093

For:

Resource Management in Cellular

Networks

Mail Stop Non-Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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## **TRANSMITTAL**

Technology Center 2600

Dear Sir:

Attached hereto and transmitted herewith are the following:

Supplemental Information Disclosure Statement (5 pages)

☑ Information Disclosure Statement by Applicant

Form PTO/SB/08A (with copies of 7 references)

区 Copy of International Search Report (7 pages)区 Certificate of Mailing by First Class Mail

Stamped, Pre-Addressed Postcard

## CERTIFICATE OF MAILING

I hereby certify that, on the date shown below, this correspondence is being

deposited with the United States Postal Service in an envelope addressed to Mail Stop Non-Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

#### MAILING

37 C.F.R. §1.8 ⊠ as first class mail. 37 C.F.R. §1.10

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#### **FACSIMILE TRANSMISSION**

transmitted by facsimile to the Pater	nt and Trademark Office.	
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Gayle Canfield	Hayle Canfield	August 25, 2003
Name of Depositor	O Signature	Date

If any fees might be due in connection with this paper, the Commissioner is authorized to charge any such fees against our Deposit Account No. 50-1662. A duplicate copy of this transmittal is attached.

Respectfully submitted,

POLSINELLI SHALTON & WELTE, P.C.

Jerome R. Smith, Jr. Reg. No. 35,684 700 W. 47<sup>th</sup> Street, Suite 1000

700 W. 47" Street, Suite 1000 Kansas City, Missouri 64112

Tel: (816) 360-4119 Fax: (816) 753-1536 Attorney for Applicant

29215 / 61804 JRSMI 1033555

Atty Docket No. 61804

First Class Mail

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Y. Daniel, et al.

Group No.

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is desired to cite for the record in this application the enclosed documents listed on the attached copy of PTO Form 1449. In sections I-IV, below, the paragraph(s) marked with an "x" in the requisite space are applicable to this Information Disclosure Statement.

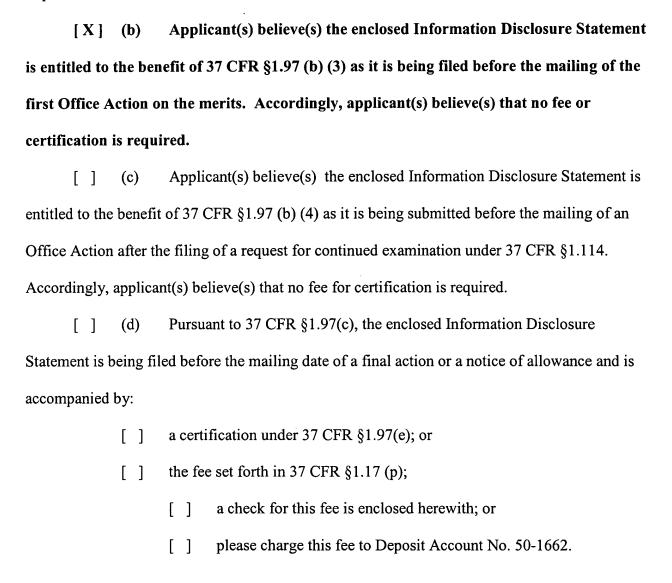
### I. REQUEST FOR CONSIDERATION

transmitted by facsimile to the Patent and Trademark Office.

Gayle Canfield
Name of Depositor

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identified application. Accordingly, applicant(s) believe(s) that no fee or certification is required.



# II. PETITION UNDER 37 CFR 1.97 (d)

[ ] a) Pursuant to 37 CFR §1.97 (d), applicant(s) hereby petition(s) the Commissioner to consider the attached Information Disclosure Statement. Applicant(s) state(s) that the issue fee has not been paid and that a certification under 37 CFR §1.97 (e) is provided herein, along with the petition fee of \$130.00 required under 37 CFR §1.17 (i) (1);

	[	]	a check for this petition fee is enclosed herewith;	T HSt Class War
	[	]	please charge this petition fee to Deposit Account	No. 50-1662;
and				
	b) 7	Γhe in	formation disclosure fee of \$200.00 required by 37	CFR §1.17 (p) is
believed to be	due, and			
	[	]	a check for this fee is enclosed herewith; or	
	[	]	please charge this fee to Deposit Account No. 50-1	662.
III. CERTIFIC	CATION	UND	ER 37 CFR §1.97 (e) (1)	
[]	The und	ersign	ed hereby certifies that each item of information con	ntained in the
attached Information	nation D	isclos	ure Statement was cited in a communication from a	foreign patent
office in a cou	nterpart i	foreign	a application mailed not more that three months price	or to the filing of
this statement.	Art was	cited	in an International search Report mailed	_in the PCT
Application N	0		corresponding to the above listed patent appli	cation.
[]	A copy	of the	by the International Preliminary	Examining
Authority is en	nclosed h	erewit	h.	
IV. CERTIF	<u>ICATIO</u>	N UN	DER 37 CFR §1.97 (e) (2)	
[ ]	The und	ersign	ed hereby certifies that no item of information conta	ained in the
attached Information	nation D	isclos	ure Statement was first cited in a communication fro	om a foreign
patent office in	a count	erpart	foreign application or, to the knowledge of the unde	ersigned, after
making reason	able inqu	ıiry, w	as known to any individual having a duty of disclos	sure as set forth
in 37 CFR §1.:	56 (c) mo	ore tha	n three months prior to the filing of this statement.	

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a governmental or international or regional bureau searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy 37 CFR §1.98(a) (3) even if in a foreign language, since the few terms of relevance therein are deemed of universal cognizance. However, applicant does not necessarily adopt the position reflected by that report.

A copy of the International Search Report for PCT Application No.

PCT/GB02/03415, corresponding to the above-listed patent application, is enclosed herewith.

The information herein cited is only in fulfillment of Applicant's(s') duty of candor in disclosing all information brought to Applicant's(s') attention. This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant(s) reserve(s) the right to present to the U.S. Patent and Trademark Office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve(s) the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Atty Docket No. 61804 First Class Mail

The Commissioner is hereby authorized to charge payment of any additional fees

associated with this communication or credit any overpayment to Deposit Account No. 50-1662.

Respectfully submitted,

POLSINELLI, SHALTON & WELTE, P.C.

By:

Jerome R. Smith, Jr. Reg. No. 35,684 700 W. 47<sup>th</sup> Street, Suite 1000 Kansas City, Missouri 64112

Tel: (816) 360-4119 Fax: (816) 753-1536 Attorney for Applicant(s)

8/25/2003

29215 / 61804 JRSMI 1033551

PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Complete if Known		
Application Number	09/916,190	
Filing Date	July 26, 2001	
First Named Inventor	Yoaz Daniel	
Art Unit	2681	
Examiner Name	Unknown	
Attorney Docket Number	61804	

			U.S. PATENT	DOCUMENTS		
Examiner Cite		Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant	
Initials *	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Ched Dodaniem	Passages or Relevant Figures Appear	
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	FOREIGN PATENT DOCUMENTS						
Everyings Cite		Foreign Patent Document	2111111	Name of Patentee or	Pages, Columns, Lines,		
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁵	
		WO 02/09358	1-31-2002				
		WO 99/60796	11-25- 1999				
		WO 00/36863	6-22-2000				
		EP 0 790 726 A	8-20-1997				
		EP 1 076 472 A	2-14-2001				
		EP 0 719 062 A	6-26-1996				
	<b></b>						

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08b(05-03)

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

2 Sheet 2 of

	Complete if Known	
Application Number	09/916,190	
Filing Date	July 26, 2001	
First Named Inventor	Yoaz Daniel	
Group Art Unit	2681	
Examiner Name	Not Yet Assigned	
Attorney Docket Number	61804	

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>			
		3GPP TS 23.107 V3.4.0 3rd Generation Partnership Project; Technical Specification Group Services and System Aspects; QoS concept and Architecture (Release 1999), October 2000, pp. 1-36  RECENTE  AUG 2 9 2003  Technology Center 2	600			
			a.			

Examiner Date	
Signature Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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